

#### DOCUMENTS FOR SECURITIES TRADING ACCOUNT OPENING

#### FOR FOREIGN INSTITUTIONAL CLIENTS

# A/ Documents for applications of trading code at Viet Nam Securities Depository and Clearing Corporation (VSDC): (In accordance with VSDC' regulations)

Documentations	Quantity
1. VSDC Application form for trading code	01
2. VSDC Clients authorize MBS for application of Trading Code	01
<ol> <li>Notarized photocopy of the Client's Business Registration Notarized photocopy Proved documentation of authorized representative of the Organization</li> </ol>	01
4. Notarized photocopy of the Authorize Representative's passport	01

# B/ Documents for applications of indirect capital account (IICA account) at commercial bank: (forms are under Bank's regulations)

The Client proactively contacts commercial bank for being supported and provided documentations as regulated by the bank. In some special cases, MBS will assist the Client

Documentations	Quantity
1. Application for Opening account for foreign Institution_Vietnam dong account	01 (form provided by the bank)
2. Application for Opening Institutional account_Foreign currency account	01 (form provided by the bank)
3. Notarized and Legalized photocopy of passport of authorized representative.	01
<ul> <li>4. Notarized, translated into Vietnamese and Legalized photocopy of the Client's Business Registration</li> <li>Notarized and Legalized photocopy Proved documentation of authorized representative of the Organization</li> </ul>	01

### C/ Documents for applications of opening securities trading account at MBS:

Documentations	Quantity
1. The original/valid copy of Certificate of Trading Code of the Client	01
<ol> <li>A photocopy of confirming the Investor's indirect capital Account number of Client.</li> </ol>	01
3. MBS Application For Opening Trading Account (INSTITUTIONAL)	02
<ol> <li>Notarized photocopy of the Client's Business Registration Notarized photocopy Proved documentation of authorized representative of the Organization</li> </ol>	01
5. Notarized photocopy of the authorized representative's passport	01

Please send us all documents to following address:

- For soft copy and scan copy: email: <a href="mailto:ics2@mbs.com.vn">ics2@mbs.com.vn</a>
- For hardcopy and originals:
  - Insitutional Client Services (ICS) Department
  - MB Securities JSC.
  - 8th Floor, MB Building, 21 Cat Linh, Dong Da District, Ha Noi