



**DOCUMENTS FOR SECURITIES TRADING ACCOUNT OPENING  
FOR LOCAL INSTITUTIONAL CLIENTS**

No	Documentations	Quantity
1	Notarized photocopy of the Client's Business Registration	01
2	Notarized photocopy of the Representative of company. (in the case, the Representative does not mention in Client's Business Registration)	01
3	Notarized photocopy of the Representative's Identity card.	01
4	Notarized photocopy of the Authorized person for trading (if any)	01
5	MBS Application For Opening Trading Account	02
6	Power of Attorney - POA original (if any)	01
7	Notarized photocopy of Organization's stamp	01
8	Meeting Minutes of the Board of Directors, Board of Members if the Organization registers to use the Margin services	01

In case Institutional clients want to register for the Private Bond Trading Service, some following documents must be provided and submitted:

Organizations	Documents	Quantity	Notes
1. For commercial banks, foreign bank branches, financial companies, insurance business organizations, securities companies, securities investment fund management companies, securities investment companies, securities investment funds, international financial organizations, non-budget state financial funds, state financial organizations that are allowed to purchase securities according to relevant laws	Certificate of business registration or License for establishment and operation or other equivalent documents.	01	
2. For companies with contributed charter capital of over 100 billion VND or listed organizations, organizations registering for transactions:			

✓ <i>In case of being a listed organization, organization registering for transactions</i>	Decision approving listing, registration for transactions for listed organizations, organizations registering for transactions (original or notarized copy)	01	
✓ <i>In case of organizations other than listed organizations or organizations registering for trading</i>	Certificate of business registration or other equivalent documents; Audited annual financial statements or reviewed semi-annual financial statements (original or notarized copy)	01	For financial statements, it is necessary to provide a copy of the most recent review period but not exceeding 12 months from the date of confirmation

Please send us all documents to following address:

- For soft copy and scan copy: email: [ics2@mbs.com.vn](mailto:ics2@mbs.com.vn)
- For hardcopy and originals:

Insitutional Client Services (ICS) Department

MB Securities JSC.

8th Floor, MB Building, 21 Cat Linh, Dong Da District, Ha Noi